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REPORT ON DETAILED INITIAL SAFETY FACTOR ASSESSMENT SIKESTON POWER STATION BOTTOM ASH POND SIKESTON, MISSOURI

by Haley & Aldrich, Inc. Cleveland, Ohio

for Sikeston Board of Municipal Utilities Sikeston, Missouri





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14 October 2016 File No. 128065-001

Sikeston Power Station Board of Municipal Utilities P.O. Box 468 Aberdeen, Ohio 45101

- Attention: Mr. Mark, McGill Results Engineer/Plant Chemist
- Subject: Report on Detailed Initial Safety Factor Assessment Sikeston Power Station Bottom Ash Pond Sikeston, Missouri

Mr. McGill:

We are pleased to submit herewith our report entitled, "Report on Detailed Initial Safety Factor Assessment, Sikeston Power Station, Bottom Ash Pond, Sikeston, Missouri." This report includes background information regarding the project from inception through completion including references to our Preliminary Seismic Screening completed 20 June 2016, the results of our field investigation program, and the results of the Detailed Initial Safety Factor Assessment.

This work was performed by Haley & Aldrich, Inc. (Haley & Aldrich) on behalf of the Sikeston Board of Municipal Utilities (Sikeston BMU) in accordance with the United States Environmental Protection Agency's Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(e). The safety factor assessment discussed herein has been referred to as an "initial" assessment to coincide with the terminology used in §257.73(e) and §257.73(f) to distinguish it from the "periodic" assessments that are required every five years following the "initial" assessment has been completed.

The scope of our work in this Detailed Initial Safety Factor Assessment consisted of the following: 1) using the results of the Preliminary Seismic Screening to identify data and information gaps needed to complete this safety factor assessment work; 2) Planning and executing a field investigation program to obtain supplemental subsurface information for seismic response evaluation and slope stability analyses; 3) Conducting a geotechnical laboratory testing program on soil samples recovered from the supplemental subsurface explorations; 4) performing advanced/detailed level engineering evaluations related to seismic response analysis, liquefaction and slope stability; and 5) preparing and submitting this report presenting the results of our assessment.

Sikeston Board of Municipal Utilities 14 October 2016 Page 2

Thank you for inviting us to complete this assessment and please feel free to contact us if you wish to discuss the contents of the report.

Sincerely yours, HALEY & ALDRICH, INC.

Den A Sheth

Derrick A. Shelton Geotechnical Program Manager | Senior Associate

Steven F. Putrich, P.E. Project Principal

Enclosures

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1. Introduction

1.1 GENERAL

Haley & Aldrich, Inc. (Haley & Aldrich) has been contracted by the Sikeston Board of Municipal Utilities (Sikeston BMU) to perform a Detailed Initial Safety Factor Assessment for the Bottom Ash Pond located at Sikeston Power Station in Sikeston, Missouri. This work was completed in accordance with the United States Environmental Protection Agency's (EPA's) Hazardous and Solid Waste Management System; Disposal of Coal Combustion Residuals from Electric Utilities, 40 CFR Part 257, specifically §257.73(e) (EPA, 2015) and in accordance with our scope of services dated 29 June 2016.

1.2 PURPOSE OF SAFETY FACTOR ASSESSMENT

The purpose of this study was to investigate the subsurface soil and water conditions at the site and to perform a detailed initial safety factor assessment in accordance with Section §257.73(e)(1) of the Final CCR Rule. To achieve the objective discussed above, the scope of work undertaken for this investigation included the tasks listed below.

- Planning and executing a field investigation program to obtain supplemental subsurface information for the detailed liquefaction and slope stability analyses. The program consisted of:
 - performing a seismic survey;
 - installing four (4) drive-point piezometers to depths ranging from 3 ft to 15 ft below ground surface; and
 - collecting four (4) bulk samples of ponded material from the Bottom Ash Pond.
- Conducting a geotechnical laboratory testing program on bulk samples collected during the field investigation program.
- Performing an advanced site-specific seismic response analysis and Newmark displacement analysis of the impoundment embankment.
- Evaluating liquefaction susceptibility of material used to construct the impoundment embankments.
- Performing static and seismic stability analyses for rotational failure surfaces using limit equilibrium methods.

1.3 ELEVATION DATUM AND HORIZONTAL CONTROL

The elevations referenced in this report are in feet and are based on the North American Vertical Datum of 1988 (NAVD88). The horizontal control is the Missouri State Plane East coordinate system, which is based on North American Datum 83 (NAD83).



2. Description of Ponds

A summary of relevant information associated with the Bottom Ash Pond is provided below. Additional details can be found in the Dam Safety Assessment report prepared by O'Brien and Gere (O'Brien & Gere, 2010) and the Global Stability Evaluations report prepared by Geotechnology, Inc. (Geotechnology, 2011). Refer to Figure 1, "Project Locus" for the general site location.

2.1 DESCRIPTION OF BOTTOM ASH POND

The Bottom Ash Pond is a Coal Combustion Residuals (CCR) surface impoundment located east of the Sikeston Power Station in Sikeston, Missouri. The Bottom Ash Pond makes up the southern portion of the oval shaped Sikeston Power Station CCR impoundment system. The Bottom Ash Pond is bordered on the north by the Fly Ash Pond and the plant's coal stockpiling area, on the south agricultural land, on the east by agricultural land and residential properties, and on the west by the plant facilities and agricultural land.

The Bottom Ash Pond was originally designed by Burns & McDonnell, with construction completed in 1981. The Bottom Ash Pond previously received sluiced scrubber sludge until 1998 when the plant facilities underwent system upgrades and no longer generated scrubber sludge. The current primary function of the Bottom Ash Pond is to settle and store bottom ash sluiced from the Sikeston Power Station generating unit. A 30-in. diameter pipe connects the Bottom Ash Pond to the Fly Ash Pond through a splitter dike, which is generally closed to flow unless heavy rainfall temporarily raises the water level in the Bottom Ash Pond. Effluent from the Bottom Ash Pond flows into a 12-in. diameter steel pipe that extends below grade and discharges into the Process Waste Pond.

The impoundment is a combined incised/diked earthen embankment structure with an average 20-ft crest width. The embankment height as measured from the crest to the exterior toe of slope is approximately 12 ft. The interior and exterior slopes are designed at 2 horizontal to 1 vertical (2H:1V). The Bottom Ash Pond was designed with a 2-ft thick clay liner on the interior slope and bottom of the pond. The impoundment has a total surface area of approximately 54 acres. The top of the impoundment is at approximately El. 322. The maximum storage and surcharge pool levels of are El. 315 and El. 322, respectively. The corresponding available freeboard is 7 ft.



3. Field Investigation Program

3.1 PREVIOUS EXPLORATIONS AND LABORATORY TESTING PERFORMED BY OTHERS

Several subsurface exploration and laboratory testing programs were previously completed at the site by others. The approximate locations of the relevant historic explorations performed by others are shown on the attached Figure 2. A brief summary of the explorations is provided below, and relevant logs and laboratory test results are included in Appendix A. Note that "relevant" explorations refers to explorations from previous investigations by others that were directly used in our safety factor assessment of the Bottom Ash Pond.

- Twenty (20) rotary wash test borings and seven (7) Dutch cone soundings were performed by Burns & McDonnell in 1977 as part of the subsurface exploration program for the power plant site. Out of these, seven (7) test borings are relevant to Bottom Ash Pond and were used in our evaluation of the subsurface conditions.
- Fourteen (14) test borings were drilled by Geotechnology, Inc. in 2011 as part of the ash ponds investigation program. In six (6) of these test borings, a piezometer was installed. Of the fourteen (14) test borings, six (6) were relevant to Bottom Ash Pond and were used in our evaluation of the subsurface conditions.
- One (1) groundwater monitoring well was installed by Layne-Western Company, Inc. in 1979 adjacent to the west side of the Bottom Ash pond.

3.2 CURRENT SUBSURFACE EXPLORATION PROGRAM

A subsurface exploration program was conducted at the project site by Haley & Aldrich on 21 July 2016 to obtain subsurface information for engineering evaluations. The program consisted of installing drivein piezometers and performing a seismic survey.

3.2.1 Piezometers

Four (4) piezometers were installed to depths ranging from 5.0 to 14.5 ft below ground surface as summarized in Table I¹. The location of the piezometers is shown on Figure 2.

The piezometers consisted of drive-point piezometers manufactured by Solinst Canada, Ltd. Each piezometer consisted of a stainless steel 50 mesh cylindrical filter-screen within a 6-in. long, 0.75-in. diameter stainless steel body. The individual piezometers were attached to various lengths of 0.75-in. diameter NPT black iron pipe. The piezometers were installed by Haley & Aldrich representatives using a slide hammer and each piezometer included a shield to reduce the potential for smearing and plugging of the mesh screen during installation.

At each piezometer location, bulk samples of CCR material within the upper 1.0 to 2.0 ft below ground surface were collected. The samples were transmitted to Shannon & Wilson, Inc. of St. Louis, MO for laboratory testing.

¹ Note: A table that does not appear near its citation can be found in a separate table at the end of the report.



3.2.2 Seismic Survey

Haley & Aldrich engaged the University of Memphis Center for Earthquake Research and Information (CERI) to perform a seismic survey at the site on 21 July 2016. The purpose of the seismic survey was to characterize the shear wave velocity of the subsurface soils at the site and develop a subsurface shear wave velocity profile to be used in seismic response analysis and liquefaction evaluation. The survey was performed along County Road 478 located south of the power plant. The survey was performed using multi-channel analysis of surface wavers (MASW), Refraction Microtremor (ReMi), and refraction/reflection techniques. Details of the techniques used and results of the survey are included in Appendix C along with a plan showing the location of the survey.

3.3 LABORATORY TESTING PROGRAM

A laboratory testing program was conducted on selected samples of bottom ash and scrubber sludge (CCR material) recovered at the location of each drive-in piezometer to aid in classification and for determination of engineering properties required for design. The primary purpose of the testing program was to evaluate the index properties of the CCR material. Testing included natural moisture contents and grain size distributions with hydrometer analysis. The tests were performed in general conformance with applicable ASTM test procedures. Results of the laboratory testing program are presented in Appendix B and are summarized in Table III.



4. Subsurface Conditions

4.1 GEOLOGY

The site is located within the New Madrid seismic zone. The new Madrid Seismic Zone lies at the north end of the Mississippi embayment, which is a deep, low-lying basin filled with Cretaceous to recent sediments. Sikeston Power Station is located in the Southeastern Lowlands physiographic region in southeastern Missouri (MDNR, 2002). The site lies on Sikeston Ridge and in the adjacent lowland flood plain area immediately west of it. Soils underlying the site consist of alluvial soils, deposited and reworked through stream actions of Ohio and Mississippi Rivers (Burns & McDonnell, 1977).

Bedrock is present at a depth of approximately 770 ft below ground surface. The bedrock consists of limestone, sandstone, and dolomite (Luckey, 1985). The seismic survey conducted at the site indicates that the geologic strata consist of, from top to bottom, a Holocene silt and clay stratum at the ground surface; a Quaternary sand stratum at a depth of approximately 13 ft, and a Quaternary gravel stratum at a depth of approximately 73 ft. Below the Quaternary gravel, Eocene strata exist at a depth of 191 ft below ground surface; the Paleocene Midway Group is located at a depth of 252 ft and the top of the Cretaceous formation is located at depth of 328 ft. Refer to the seismic survey included in Appendix C for additional geology information. The geologic stratigraphy at our site is graphically presented in Appendix D.

4.2 SUBSURFACE CONDITIONS

Descriptions of the near-surface soil conditions encountered during the historic subsurface exploration programs conducted at the site are provided below in order of increasing depth below ground surface. Actual soil conditions between boring locations may differ from these typical descriptions. Refer to the test boring logs for specific descriptions of soil samples obtained from the borings.

- <u>EMBANKMENT FILL</u> Below the surface of the impoundment embankment crest, there is a stratum of fill material primarily described in historic logs as poorly-graded SAND (SP), silty SAND (SM) and clayey SAND (SC). This stratum was encountered in historic borings B-6, B-7, P-8, and P-10. This stratum was fully penetrated where encountered. The thickness of this stratum ranged from approximately 12 to 17 ft. The density of coarse-grained soils encountered in this stratum ranged from loose to dense but was generally medium dense.
- <u>ALLUVIAL SAND</u> Below the EMBANKMENT FILL there is a stratum of natural soil (Quaternary alluvial deposits) primarily described in the historic logs as poorly-graded SAND (SP), well-graded SAND (SW) and silty SAND (SM). This stratum was encountered in all relevant historic test borings. Where encountered, this stratum was not fully penetrated in any of the borings. The density of coarse-grained soils encountered in this stratum ranged from loose to very dense but was generally medium dense.

4.3 GROUNDWATER CONDITIONS

Water levels were measured in the drive-in piezometers upon completion of installation. Measured water levels are summarized in Table I. Where encountered, measured water levels in the piezometers



generally ranged from a depth of 0.5 to 8.0 ft below ground surface, which corresponds to a water level ranging between approximately El. 311.8 and El. 318.3. Water was not measured in piezometer HAP-2.

In historic borings performed by Burns & McDonnell and Geotechnology, Inc., water levels were typically measured in the boreholes when water was encountered during drilling of the test borings. Measured water levels in historic test borings are summarized in Table II. Where encountered, measured water levels in the test borings generally ranged from a depth of 3.5 to 17.0 ft below ground surface.

In addition to water levels measured in the test borings, long-term water levels were measured in observation wells near the Bottom Ash Pond as summarized in Table IV. Measured water levels in the observation wells generally ranged from a depth of 10.4 to 24.5 ft below ground surface, which corresponds to a water level ranging between approximately El. 296.8 and El. 299.0.

Water level readings have been made in the piezometers and subsurface explorations at times and under conditions discussed herein. However, it must be noted that fluctuations in the level of the water may occur due to variations in power plant sluicing activities, season, rainfall, temperature, dewatering activities, and other factors not evident at the time measurements were made and reported herein.



5. Safety Factor Assessment

As mentioned previously, the purpose of this study was to perform a detailed initial safety factor assessment in accordance with Section §257.73(e)(1) of the Final CCR Rule. As required by the Rule, the certified initial safety factor assessment is performed for a CCR unit to determine calculated factors of safety for each CCR unit relative to the minimum prescribed safety factors for the critical cross section of the embankment. The minimum required safety factors are defined as follows:

- For dikes constructed of soils that have susceptibility to liquefaction, the calculated liquefaction factor of safety must equal or exceed 1.20.
- The calculated static factor of safety under the long-term, maximum storage pool loading conditions must equal or exceed 1.50.
- The calculated static factor of safety under the maximum surcharge pool loading condition must equal or exceed 1.40.
- The calculated seismic factor of safety must equal or exceed 1.00.

Stability analyses have been performed in general conformance with the principles and methodologies described in the USACE Slope Stability Manual (U.S. Army Corps of Engineers, 2003). Conventional static and seismic stability analyses of the impoundment embankments were performed for rotational failures using limit equilibrium methods. Limit equilibrium methods compare forces, moments, and stresses which cause instability of the mass of the embankment to those which resist that instability. The principle of the limit equilibrium method is to assume that if the slope under consideration were about to fail, or at the structural limit of failure, then one must determine the resulting shear stresses along the expected failure surface. These determined shear stresses are then compared with the shear strength of the soils along the expected failure surface to determine the safety factor. The details of the analyses performed for the Bottom Ash Pond are presented in the following sections of this report.

5.1 DESIGN WATER LEVELS

In accordance with the Federal CCR Rule, the water retained in an impoundment must be modeled at the maximum storage pool level for the static drained and seismic undrained analyses. The maximum surcharge pool level must be used to model the ponded water for the static undrained analyses. A summary of the maximum storage pool and surcharge pool water levels at the Bottom Ash Pond are provided below.

	Maximum	Maximum	Available
Location	Storage Pool Level	Surcharge Pool Level	<u>Freeboard</u>
Bottom Ash Pond	El. 315	El. 322	7 ft

The elevation of the groundwater table within the embankment and at the toe of slope were estimated based on groundwater conditions encountered in nearby subsurface explorations and observation wells. Additionally, there is no current evidence of seepage emanating from the exterior slopes of the ponds, suggesting that the phreatic surface is contained within and/or below the embankments.

Given the prescribed impoundment pool levels and the observed static groundwater levels discussed above, a seepage analysis was performed to determine the piezometric head between the interior slope of the impoundment embankment and the exterior toe of the embankment. The computer software



program, Slide 6.029, developed by RocScience, Inc., was used to perform the seepage analyses. Permeability values for each material layer were estimated from typical published values based on material description and correlations to grain size. During the course of the seepage analyses, minor adjustments were made to the permeability values and isotropic permeability ratios to best model the conditions observed in the field. Results from the seepage analysis provided pore pressure values within the model that were used in the stability analysis.

The models suggest that much of the seepage emanating from the Bottom Ash Pond is moving downward into the more permeable foundation soils and establishing a groundwater table at or near approximately El. 298 rather than moving laterally through the clay liner and embankments. The phreatic surfaces used in the slope stability models are shown on the slope stability graphical output included in Appendix D.

5.2 MATERIAL PROPERTIES

The material properties used in our analyses have been developed using the results of the referenced historic test borings and laboratory testing. In cases where subsurface explorations and/or laboratory test data did not exist for certain materials, properties were estimated based on properties used in historic analyses previously performed by others at or near the site as indicated below:

- Clay Liner typical published values
- Bottom Ash/Scrubber Sludge typical published values

TABLE V									
MATERIAL PROPERTIES									
Material	Material Strength	Unit Weight (pcf) (psf)		Friction Angle (degrees)					
Bottom Ach / Scrubbor Sludgo	Drained	90	0	30					
Bottom Asily Scrubber Sludge	Undrained	90	750	0					
Claudinar	Drained	125	0	28					
	Undrained	125	1000	0					
	Drained	120	50	35					
Embankment Fill	Undrained	120	100	35					
	Drained	120	0	35					
Foundation Solis	Undrained	120	0	35					

A summary of the material properties is provided below in Table V. It should be noted that a small amount of cohesion was used for the Embankment Fill material to avoid surficial sloughing failures.

A seismic survey was used to obtain in-situ measurements of shear wave velocity. The insitu measurements were performed to a depth of 770 ft below existing ground surface. The site specific shear wave velocity profile is included in Appendix D.



5.3 SITE SPECIFIC SEISMIC RESPONSE ANALYSIS

5.3.1 Seismic Response Analysis

As mentioned previously, the Sikeston Power Station is located within the New Madrid Seismic Zone and the Mississippi embayment. The natural embayment soils underlying the Bottom Ash Pond are estimated to be approximately 770-ft thick. It has been demonstrated that strong ground motions migrating up through the thick soil in the Mississippi embayment alter the spectral response at the ground surface so that it is much different than the response in the bedrock below the site.

Accordingly, a site-specific target response spectrum was created for the Sikeston Power Station to develop the 2,500-year earthquake motions for use in this study. This target spectrum was developed based on the maximum critical risk-targeted (MCE_R) spectral response acceleration. Two different design methods (probabilistic and deterministic) were used to approximate the MCE_R spectrum and the lesser of the spectral response accelerations from each method at each period was used to create the site-specific target spectrum. The seismic hazard analysis results were then used to compute a 2,500-yr return period deterministic target spectrum. A special type of target spectrum, called the conditional mean spectrum (CMS), was created for the study because it focuses the mean spectral response of all the ground motions to a particular period along the target spectrum.

A CMS target spectrum was generated for both the short period ($T^*=0.1s$) related to the sliding mass and long period ($T^*=1.0 s$) related to the soil column thickness. The CMS spectrum corresponding to the long period ($T^*=1.0 s$) was determined to be the most conservative and was used to complete the seismic response analysis

Seven time-history records were used to match the CMS target spectrum for the site. The time histories represent the site-specific ground motions associated with the controlling earthquake event and consider the magnitude, distance and focal mechanism. The results of the one-dimensional ground response analysis indicate that the calculated site-specific peak ground acceleration (PGA) for a 2,500-year event ranges from 0.30g to 0.73g for top of bedrock and from 0.37g to 0.50g at the ground surface. Details of the seismic response analysis are included in Appendix D.

5.3.2 Newmark Displacement Analysis

The Newmark displacement analysis is based on the shear stress time history acting along the failure plane within the slope. The yield acceleration determined by the analysis is the minimum amount of ground acceleration necessary to initiate motion along the failure surface and is used to determine the appropriate pseudo-static coefficient for seismic stability analyses.

Shake 2000 was used to perform the Newmark displacement analysis by incorporating the results of the one-dimensional ground response analysis and estimating slope displacement for each of the seven time-histories discussed above. The critical impoundment cross-section was evaluated and the most conservative location of the failure plane was determined to be 10 to 12 ft below the top of slope. Correction factors were applied to scale the displacements to the target magnitude 8 event. Details of the analysis are included in Appendix D along with graphical presentation of the results.



5.4 LIQUEFACTION POTENTIAL EVALUATION

During strong earthquake shaking, loose, saturated cohesionless soil deposits may experience a sudden loss of strength and stiffness, sometimes resulting in loss of bearing capacity, large permanent lateral displacements, and/or seismic settlement of the ground. This phenomenon is called soil liquefaction. In accordance with the requirements of §257.73(e)(1), evaluations have been performed to assess the potential for liquefaction of the soils used to construct the impoundment embankment.

The results of the subsurface explorations performed at the site indicate that the majority of soils used to construct impoundment embankments consist of poorly-graded SAND, silty SAND, and clayey SAND. These materials are generally susceptible to liquefaction when saturated. However, groundwater is located approximately 5 to 10 ft below the embankments. Consequently, the existing embankment soils are not saturated and as a result, are not susceptible to liquefaction. In accordance with the requirements of §257.73(e)(1), a post-liquefaction stability analysis is not required since the soils used to construct the embankment are not susceptible to liquefaction in their current state.

5.5 STABILITY ANALYSIS

5.5.1 Methodology for Analyses

The computer software program Slide 6.029 was used to evaluate the static and seismic stability of the impoundment embankment. Analyses were performed to evaluate static drained (long-term) and undrained (short-term) strength conditions for circular failures using Spencer's method of slices. Spencer's method of slices was selected because it fully satisfies the requirements of force and moment equilibrium (limit equilibrium method).

Seismic stability was evaluated using pseudo-static analyses. Pseudo-static analyses model the seismic shaking as a "permanent" body force that is added to the force-body diagram of a conventional static limit-equilibrium analysis; typically, only the horizontal component of earthquake shaking is modeled because the effects of vertical forces tend to average out to near zero (Jibson, 2011). This is a traditional approach for evaluating the stability of a slope during earthquake shaking and provides a simplified safety factor analysis for one earthquake pulse. A 20 percent reduction in material strength was incorporated in the pseudo-static analyses to represent the approximate threshold between large and small strains induced by cyclic loading (Duncan, 2014). A safety factor greater than or equal to one (FS \geq 1.0) indicates a slope is stable and a safety factor below one (FS < 1.0) indicates that the slope is unstable.

5.5.2 Pseudo-static Coefficient

The pseudo-static coefficient, k_s , used in our seismic analyses was selected using the results of the Newmark displacement analysis discussed previously. According to the MSHA Impoundment Design Manual, the acceptable displacement of coal refuse impoundments is 25% of the upstream freeboard (MSHA, 2009)². At the Bottom Ash Pond, that equates to 21 in. based on 7 ft of freeboard.

² This document is mentioned in the preamble of the Rule and is one of the reference documents that was used by the EPA to evaluate how to perform static and seismic stability analyses.



For a 21-in. acceptable displacement, the Newmark displacement curves in Appendix D show that the minimum allowable yield acceleration corresponding to the average displacement is 0.21g. A pseudostatic coefficient lower than 0.21g will result in more than 21 in. deformation and one higher than 0.25g will result in less than 21 in. deformation. For the seismic stability analyses performed for the impoundments, a pseudostatic coefficient of 0.25g was selected. This value was selected because it is slightly above the minimum value, which is conservative, and will result in displacements that are below MSHA acceptable values.

5.5.3 Results of Stability Evaluation

The critical cross section is defined as that which is anticipated to be most susceptible to failure amongst all cross sections. To identify the critical cross section at our project site, we examined the following conditions at several cross section locations at the impoundment:

- a. the geometry of the upstream and downstream slopes;
- b. phreatic surface levels within and below the cross sections;
- c. subsurface soil conditions;
- d. presence or lack of surcharge loads behind the crest of the embankments; and
- e. presence or lack of reinforcing measures in front of the embankments.

Examination of the conditions noted above resulted in the identification of one critical cross section at the Bottom Ash Pond. The location of the critical cross section is shown on Figure 2. The results of our analyses are presented below in Table VI and are shown on the Slide output files included in Appendix D.

As shown below, the static safety factors are above the minimum required values for the critical cross sections. Similarly, the pseudo-static analyses for the analyzed section indicates an acceptable seismic safety factor.

TABLE VI SUMMARY OF STATIC AND SEISMIC STABILITY EVALUATIONS									
Pond	Cross Section	Condition	Earthquake Event	Soil Strength ¹	Required Safety Factor	Calculated Safety Factor			
Dottom Ash		Static		Drained	1.5	2.1			
Pond	A-A'	Static	-	Undrained	1.4	2.5			
1 0110		Seismic	2,500-year	Undrained ²	1.0	1.2			

1. Refer to Table V for material properties.

2. Soil strengths have been reduced by 20 percent for seismic analyses.

5.6 CONCLUSIONS

The analyses associated with the safety factor assessment have been performed in accordance with the requirement of Section §257.73 of the Final CCR Rule. A summary of our conclusions as they relate to the rule requirements are provided below.

• §257.73(e)(1)(i) - The calculated static factor of safety under the long-term, maximum storage pool loading conditions must equal or exceed 1.50.



As shown in Table VI, the static safety factors for the long-term (drained) maximum storage pool condition are above the minimum required value for the critical section analyzed. Accordingly, this requirement has been met.

• §257.73(e)(1)(ii) - The calculated static factor of safety under the maximum surcharge pool loading condition must equal or exceed 1.40.

As shown in Table VI, the static safety factors for the maximum surcharge pool loading condition (undrained) are above the minimum required value for the critical section analyzed. Accordingly, this requirement has been met.

• §257.73(e)(1)(iii) - The calculated seismic factor of safety must equal or exceed 1.00.

As shown in Table VI, the calculated seismic safety factor is above the minimum required value for the critical section analyzed. Accordingly, this requirement has been met.

• §257.73(e)(1)(iv) - For dikes constructed of soils that have susceptibility to liquefaction, the calculated liquefaction factor of safety must equal or exceed 1.20.

The results of historic subsurface investigations indicate that the material used to construct the impoundment embankment are not susceptible to liquefaction because they are not saturated. Accordingly, this requirement has been met.



6. Certification

Based on our review of the information provided to us by Sikeston BMU and the results of our field investigations and analyses, it is our opinion that the calculated factors of safety for the critical cross section of the impoundment embankment meet the minimum factors of safety specified in §257.73(e)(1)(i) through (iv) of the EPA's Final CCR Rule.

Certification Statement

I certify that the Initial Safety Factor Assessment for the Bottom Ash Pond at the Sikeston Power Station meets the requirements of §257.73(e) of the EPA's Final CCR Rule.

Signed:

Consulting Engineer

Print Name: Missouri License No.: Title: Company:

<u>Steven F. Putrich</u> 2014035813 <u>Project Principal</u> Haley & Aldrich, Inc.

Professional Engineer's Seal:





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TABLES

TABLE ISUMMARY OF PIEZOMETER INSTALLATIONSIKESTON POWER PLANT BOTTOM ASH PONDSIKESTON, MISSOURI

				Total	Depth to	Water (ft)
Piezometer Designation ¹	Ground Surface El. ² (ft)	Northing ²	F 2	Total	Depth	Elevation
			Easting	Depth (ft)	7/21/2016 ³	7/21/2016 ³
				(11)	(ft)	(ft)
HAP-1	320.6	380854.393	1078051.494	14.5	5.0	315.6
HAP-2	320.6	380296.771	1078427.273	11.0	Not measured	Not measured
HAP-3	319.7	380261.526	1079064.430	11.0	8.0	311.8
HAP-4	318.8	380411.896	1079534.587	5.0	0.5	318.3

Notes:

1. Installation of piezometers on 21 July 2016 was performed by Haley & Aldrich, Inc.

2. The elevation data are provided in feet above sea level and refer to NAVD88 Datum. Ground surface elevation data at piezometer locations was provided by Gredell Engineering Resources, Inc. and were determined using the results of the Surdex Aerial Mapping performed during Summer 2016. The coordinates are provided in units of feet, relative to the Missouri State Plane East Coordinate System (NAD83).

3. Water level readings at the piezometers have been made at times and under conditions discussed herein. However, it must be noted that fluctuations in the level of the water may occur due to variation in season, rainfall, temperature, plant operations, and other factors not evident at the time measurements were made and reported.

HALEY & ALDRICH, INC.

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TABLE IISUMMARY OF RELEVANT HISTORIC SUBSURFACE EXPLORATIONSSIKESTON POWER PLANT BOTTOM ASH PONDSIKESTON, MISSOURI

Exploration	Performed	Year	Ground Surface	Boring	Depth to
Designation ^{1,2}	Ву	Drilled	Elevation ³	Depth	Groundwater ³
			(ft)	(ft)	(ft)
B-6	Geotechnology, Inc.	2011	322.2	45.0	Not Measured
B-7	Geotechnology, Inc.	2011	322.1	45.0	Not Measured
B-13	Geotechnology, Inc.	2011	306.2	35.0	11.5
B-14	Geotechnology, Inc.	2011	305.0	35.0	11.5
P-8	Geotechnology, Inc.	2011	322.0	25.0	See Table IV
P-10	Geotechnology, Inc.	2011	322.2	20.0	17.0
P-12	Burns & McDonnell	1977	306.0	60.0	9.0
P-13	Burns & McDonnell	1977	306.3	100.0	9.5
P-16	Burns & McDonnell	1977	307.1	60.0	11.0
P-17	Burns & McDonnell	1977	307.1	85.0	9.0
P-18	Burns & McDonnell	1977	303.8	75.0	7.0
P-19	Burns & McDonnell	1977	300.0	50.0	6.0
P-20	Burns & McDonnell	1977	299.4	95.0	3.5
TPZ-3	Gredell Engineering Resources, Inc.	2016	306.1	37.2	See Table IV
Well C	Layne-Western Company, Inc.	1979	310.0	15.3	Unknown

Notes:

- 1. Technical monitoring of explorations shown above was not performed by Haley & Aldrich, Inc.
- 2. "Relevant" explorations are defined as explorations used in our evaluation of the stability of the Bottom Ash Pond.
- 3. Ground surface elevations and groundwater depths shown above reflect the elevation and depth reported on the corresponding boring log. The ground surface elevation of Well C has been approximated using Google Earth. The ground surface elevation for TPZ-3 was provided by Sikeston BMU.

HALEY & ALDRICH, INC.
\\Was\common\Projects\128065-Sikeston\Deliverables\Report\Tables\[2016-0916-HAI-Sikeston Geotech Tables-F.xlsx]Table II - Historic Boring

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TABLE III

SUMMARY OF CURRENT AND HISTORIC LABORATORY TEST RESULTS SIKESTON POWER PLANT BOTTOM ASH POND SIKESTON, MISSOURI

Boring	Sample	Sample	USCS	Material	Moisture	LL	PL	PI	%	%	%		Direct She	ear	
Designation	Number	Depth	Symbol	Туре	Content				Gravel	Sand	Fines	Moisture	Total	c'	φ'
		(ft)			(%)							Content (%)	Density	(tsf)	(degrees)
	ψ current testing by haley & aldrich performed in 2016 ψ														
HAP-1	P-1	1.0-2.0	ML	CCR	34.4				0.0	35.4	64.6				
HAP-2	P-2	0.0-1.0	SM	CCR	22.1				0.0	83.6	16.4				
HAP-3	P-3	1.0-2.0	SP-SM	CCR	27.5				0.0	86.0	14.0				
HAP-4	P-4	1.0-2.0	ML	CCR	54.1				0.0	47.1	52.9				
				↓ HISTORIC TES ⁻	TING BY GEO	DTEC	HNO	LOG	Y, INC. II	N 2011 '	\checkmark				
B-1, B-2	Composite	0.0-20.0	SM	Soil (Borrow)					1.3	81.0	17.7			0	39
B-11, B-12	Composite	0.0-15.0	SM	Soil (Borrow)					3.3	81.7	15.0			0	41
B-13, B-14	Composite	0.0-15.0	SM	Soil (Borrow)					2.0	82.0	16.0			0	42
B-6, B-7	Composite	0.0-20.0	SM	Soil (Borrow)					0.0	81.4	18.6			0	36
B-6		33.5	SP	Soil (Natural)					0.0	96.7	3.3				
B-7		13.5	SP	Soil (Natural)					0.0	96.1	3.9				
B-13		18.5	SP	Soil (Natural)					0.2	97.2	2.6				
B-14		13.5	SP	Soil (Natural)					1.8	95.7	2.5				
P-8		18.5	SM	Soil (Natural)					0.3	77.2	22.5				
				↓ HISTORIC TES	TING BY BU	RNS	& M	CDOI	NNELL IN	۱ 1977 ۱	\checkmark				
P-13	Bag 2	5.0-8.5	SP	Soil (Natural)					0.0	96.8	3.2				
P-13	D-13	63.5-65	SP	Soil (Natural)					0.0	94.2	5.8				
P-13	D-17	83.5-85.0	SP	Soil (Natural)					26.0	71.1	2.9				
P-13	D-20	98.5-100.0	SP	Soil (Natural)					21.0	72.8	6.2				
P-16	D-5	23.5-25.0	SP	Soil (Natural)					0.0	97.0	3.0				
P-16	D-12	58.5-60.0	SP	Soil (Natural)					0.0	94.5	5.5				
P-17	Bag 2	5.0-8.5	SP	Soil (Natural)					0.0	95.5	4.5				
P-17	D-12	58.5-60.0	SP-SM	Soil (Natural)					0.0	91.7	8.3				
P-17	D-15	73.5-75.0	SP-SM	Soil (Natural)					0.0	93.6	6.4				
P-18	D-5	23.5-25.0	SP	Soil (Natural)					5.0	91.9	3.1				
P-19	Bag 1	1.5-3.5	CL	Soil (Natural)		45	21	24							
P-20	Bag 1	1.0-3.5	ML	Soil (Natural)		21	19	2							
P-20	D-3	13.5-15.0	SP-SM	Soil (Natural)					0.8	90.6	8.6				
P-20	D-12	58.5-60.0	SP-SM	Soil (Natural)					17.0	77.2	5.8				
P-20	D-18	88.5-90.0	CL	Soil (Natural)		45	22	23							

TABLE IV

SUMMARY OF GROUNDWATER LEVEL MEASUREMENTS SIKESTON POWER PLANT BOTTOM ASH POND SIKESTON, MISSOURI

Observation	Top of	Well	Measurement	Depth to	Groundwater	Well
Well	Casing	Depth	Date	Water ^{2,3}	Elevation	Installation
•	Elevation ¹					Notes
	(ft)	(ft)		(ft)	(ft)	
P-8	322.0	25.0	6/1/2016	23.0	299.0	Well was installed on 8/30/2011 by Geotechnology, Inc.
			6/16/2016	24.5	297.5	
			6/24/2016	24.1	297.9	
			7/15/2016	24.2	297.8	
			9/8/2016	24.4	297.6	
TPZ-3	308.6	37.2	5/4/2016	10.4	298.1	Well was installed on 5/13/2016 by Gredell Engineering Resources, Inc.
			6/24/2016	11.0	297.6	
			7/15/2016	11.2	297.4	
			8/8/2016	11.5	297.1	
			9/8/2016	11.8	296.8	

Notes:

1. Top of casing elevation of P-8 was reported by Geotechnology, Inc. and top of casing elevation of TPZ-3 was provided by Sikeston BMU.

2. Depth to water level readings were provided by Sikeston BMU.

3. Water level readings have been made in the wells at times and under conditions discussed herein. However it must be noted that fluctuations in the level of the water may occur due to variations in season, rainfall, temperature, and other factors not evident at the time measurements were made and reported.

HALEY & ALDRICH, INC.

\\Was\common\Projects\128065-Sikeston\Deliverables\Report\Tables\[2016-0916-HAI-Sikeston Geotech Tables-F.xlsx]Table IV - GW Measurements

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FIGURES



128065_001 LOCUS FIG 1.PDF



DSTOLOWSKI, KEVIN Printed: 10/7/2016 10:16 AM Layout: FIG 2 \128065 SIKESTON/CAD\128065_001_0003 SIKESTON ELP.DWG



DESIGNATION, LOCATION AND GROUND SURFACE ELEVATION OF PIEZOMETERS INSTALLED ON 21 JULY 2016 BY HALEY & ALDRICH, INC.

DESIGNATION AND LOCATION OF MONITORING WELL INSTALLED IN 2016 BY GREDELL ENGINEERING RESOURCES, INC.

DESIGNATION AND APPROXIMATE LOCATION OF HISTORIC BORINGS PERFORMED IN 2011 BY GEOTECHNOLOGY, INC. "P" DESIGNATION INDICATES A PIEZOMETER WAS INSTALLED IN THE COMPLETED BOREHOLE.

DESIGNATION AND APPROXIMATE LOCATION OF MONITORING WELL INSTALLED IN 1979 BY LAYNE-WESTERN COMPANY, INC.

DESIGNATION AND APPROXIMATE LOCATION OF BORINGS PERFORMED IN 1977 BY BURNS & MCDONNELL.

CRITICAL CROSS SECTION

NOTES:

- 1. BACKGROUND IMAGE FOR KEY MAP IS DATED 2 AUGUST 2014 FROM ESRI GIS.
- 2. ELEVATIONS INDICATED ON THIS DRAWING ARE IN FEET AND REFER TO NAVD88 DATUM.
- 3. THE LOCATION OF THE GEOTECHNOLOGY, INC. BORINGS WERE APPROXIMATED FROM A PLAN ENTITLED "AERIAL PHOTOGRAPH OF SITE AND BORING LOCATIONS" DATED 8 OCTOBER 2011 (LATEST REVISION) BY GEOTECHNOLOGY, INC. OF ST. LOUIS, MISSOURI.
- 4. THE LOCATION OF THE LAYNE-WESTERN COMPANY, INC. MONITORING WELL WAS APPROXIMATED FROM AN ELECTRONIC CAD IMAGE ENTITLED " SITE CHARACTERIZATION WORK PLAN FIGURE 1 - SITE LOCATION MAP" DATED JULY 2015 FROM GREDELL ENGINEERING RESOURCES, INC. OF JEFFERSON CITY, MISSOURI.
- 5. BURNS & MCDONNELL BORING LOCATIONS WERE APPROXIMATED FROM A PLAN ENTITLED "FIGURE 2" PREPARED BY BURNS & MCDONNELL OF KANSAS CITY, MISSOURI.
- 6. TECHNICAL MONITORING OF PIEZOMETERS INSTALLED ON 21 JULY 2016 WAS PERFORMED BY HALEY & ALDRICH, INC.
- 7. AS-DRILLED LOCATIONS AND ELEVATIONS OF HALEY & ALDRICH PIEZOMETERS WERE DETERMINED BY GREDELL ENGINEERING RESOURCES, INC. USING SURDEX AERIAL MAPPING INFORMATION COMPLETED IN SUMMER 2016.



SCALE: AS SHOWN OCTOBER 2016

FIGURE 2

APPENDIX A

Historic Test Boring Logs and Laboratory Test Results




































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S	urface Elevation: 322.2	Completion Date: 8/30/11		ပ္လိုင်္ခရာ		A _ 111/2	0-01/2	Π - SV			
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- SI		<u>PH</u> DRILLER <u>RF</u>		GGER			FR	OM THE GROUND UP			
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35 13-15-21 SS9 A 40 10-14-16 SS10 A 40 10-10-14 SS11 Drawn by: KSA Checked by: -5* AppVd. by: (MA) Auger 3.34" HOLLOW STEM Drawn by: KSA Checked by: -5* AppVd. by: (MA) Auger 3.34" HOLLOW STEM Date: 40/3/1/1	MAN	- 30-						:::::::::					
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IKES	ENC	OUNTERED AT 11.5	FEET ¥ WASHBORIN	IG FROM	M <u>15</u>	FEET		C	GEUIECHN	ULUGYS				
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OFB					Dro	iect No. 1010	302 01						
ខ								Pro	Ject NO. JU18	JJUZ.U I			



WELL INFORMATION

Layne-Western Co. Inc.

1.	CONTRACT Sikeston Power Station Unit 1 - Contract 37 - Water Wells	5. Driller F. Frederick 6. DATE 1/22/80
2.	City, StateSikeston, Missouri	7. Date Started 8/15/79
	·	Completed
3.	Well No ³ at Test Hole No ¹⁻⁷⁸	8. Drill Crew Man Hrs
4.	Well Location (attach map)	9. Working Days
		Drilling
		Other

10. MATERI	AL IN WE	ELL		WALL			NO.	
	LENGTH FT. IN.	DIA. IN.	GAGE NO.	THICK- NESS IN.	MATERIAL	TYPE		
						Cook	0.060	
Screen	43	8			Stainless Steel	-Shutter Keystene	Openings	
Inner Casing	. <u>14</u> 0	<u>1</u> 8		0.375	Carbon Steel	Welded J Screwed		
Outer Casing	33	30		0.281	Carbon Steel	Welded] Screwed		

11. GRAVEL Size WB50 & Lemons 3/8 x 3/4 Tons 27 54

- SEALING CASING Puddled Clay (Yes) (No) With Bags Bentonite Added or
 - With Bags Cement
 - Seal Material Placed in Well With neat cement grout
 - Bottom of Well Screen Sealed With steel.plate.....

- 13. WELL DIMENSIONS

Comments _____

No. 3

Sikeston Power Station - Unit 1 Contract 37



LOG OF WELL

Ft.	In.	to	Ft.	In.	Formation
.0			10		Silty sand
.10			16		Clay
16			35		Coarse sand
35			55		Fine sand
55			9 6		Medium sand
96			128		Clay
128			138		Coarse sand
138			140		Clay
140			175		Coarse sand and gravel
175			180		Clay
180			181		Fine sand
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MISSOURI DEPARTMEN	IT OF	REF NO	DATE RECEIVED 06/22/2016			
DIVISION OF		CR NO	CHECK NO.		00/22/20	10
😫 🚯 GEOLOGY AND LAND S	URVEY	STATE WELL NO		REVENUE	10044 NO.	4
(573) 368-2165		A208215 06/24/2016				062216
CERTIFICATION RECORD		PH1 PH2 PH3 06/22/2016 06/22/2016 06/22/2016	APPROVED B	Υ		ROUTE
INFORMATION SUPPLIED BY PRIMARY CON NOTE: THIS FORM IS NOT TO BE USED FOR NESTED WELLS	NTRACTOR OR	DRILLING CONTRACTOR				
OWNER NAME SIKESTON BOARD OF MUNICIPAL UTILITIES	CONTACT NAME SIKESTON BOARD	O OF MUNICIPAL UTILITIES				VARIANCE GRANTED BY DNR
OWNER ADDRESS 1551 WEST WAKEFIELD STREET	CITY SIKESTON		STATE MO	ZIP 63801	1	NUMBER
SITE NAME SIKESTON POWER STATION			WELL NUMBER TPZ3			COUNTY SCOTT
SITE ADDRESS			CITY			STATIC WATER LEVEL 10.09 FT
SURFACE COMPLETION TYPE LENGTH AND DIAMETER OF SURFACE COMPLETION	DIAMETER AND DI SURFACE COMPL	EPTH OF THE HOLE SURFACE CON ETION WAS	IPLETION GROUT	LOCATION	I OF WEL	L
X ABOVE GROUND LENGTH 5.0 FT. Image: State of the s	DIAMETER <u>12.0</u> LENGTH <u>2.5</u> FT.	IN. X CONCRETE		LAT. LONG.	<u>36</u> ° 89 °	5 <u>2' 37.11</u> " <u>36' 43.07</u> "
		SURFACE COMPLE	ETTION	SMALL	_EST 1/4	LARGEST 1/4 <u>SW</u> 1/4
WEEP HOLE	٦ſ			SEC.	<u>24</u> 13	TWN. <u>26</u> NORTH
						PETROLEUM PRODUCTS ONLY
ELEVATIONFT.	г I'I	RISER RISER PIPE DIAMET	ER <u>2.0</u> IN.			
ANNULAR SEAL		RISER PIPE LENGTH HOLE DIAMETER	PROPOSE	D USE O	F WELL OBSERVATION	
LENGTH <u>16.5</u> FT.		WEIGHT OR SDR#	<u>SCH40</u>	EXTRACT	OPEN HOLE	
					гн	FORMATION
BAGS OF CEMENT USED:				0.0	2.0	LOAM
%OF BENTONITE USED: WATER USED/BAG: GAL.				2.0	35.5	SND
		BENTONITE SEAL LENGTH: CHIPSPELLE	TS GRANULAR			
		SLURRY	HYDRATED			
SECONDARY FILTER PACK LENGTH:0.0FT.	-	SOPEEN				
		SCREEN DIAMETER	R: <u>2.0</u> IN. <u>10.0</u> FT.			
DEPTH TO TOP OF PRIMARY			LL HOLE: <u>8.5</u> IN.			
FILTER PACK: <u>22.1</u> FT.		DEPTH TO TOP	<u>25.5</u> F1.			
LENGTH OF PRIMARY FILTER			L			
PACK: <u>13.4</u> FT.		Address and a second se	-			
		in the same of the		TOTAL DE	PTH:	35.5 FEET
FOR CASED WELLS, SUBMIT ADDITIONAL AS BUILT DIAG	RAMS SHOWING WI	ELL CONSTRUCTION DETAILS INCLUDIN	IG TYPE AND SIZE) OF ALL CAS	ING, HOL	E DIAMETER AND GROUT USED.
SIGNATURE (PRIMARY COUNTRACTOR) × <u>KEN EWERS</u>	PERMIT NUMBER			DATE WEL 05/13/2016	L DRILLI	NG WAS COMPLETED
I HEREBY CERTIFY THAT THE MONITORING WELL HERE DEPARTMENT OF NATURAL RESOURCES REQUIREMENT	N DESCRIBED WAS S FOR THE CONST	S CONSTRUCTED IN ACCORDANCE WITH RUCTION OF MONITORING WELLS	H MISSOURI		STALLED	
SIGNATURE (WELL DRILLER) × <u>FELIX DEKEN</u>	PERMIT NUMBER 006065	SIGNATURE (A x	PPRENTICE)	APPRENT	ICE PERM	MIT NUMBER





DRAINED DIRECT SHEAR TEST

ASTM D 3080 Boring: Composite B-1 & 2 (From auger cuttings 0-20 ft)

J019302.01 - B-1,-2 DS.xis, c-phi plot, 10/3/2011





DRAINED DIRECT SHEAR TEST

ASTM D 3080 Boring: Composite B-6 & 7 (From auger cuttings 0-20 ft)

J019302.01 - B-6,-7 DS.xls, c-phi plot, 10/3/2011





ASTM D 3080 Boring: Composite B-11 & 12 (From auger cuttings 0-15 ft)

J019302.01 - B-11,-12 DS.xls, c-phi plot, 10/3/2011





DRAINED DIRECT SHEAR TEST

ASTM D 3080 Boring: Composite B-13 & 14 (From auger cuttings 0-15 ft)

J019302.01 - B-13,-14 DS.xis, c-phi plot, 10/3/2011

APPENDIX B

Current Laboratory Test Results









APPENDIX C

Seismic Survey

Shear-Wave Velocity Profile Results for Sikeston Power Plant, Missouri

By

Chris Cramer, Ph.D. (ccramer@memphis.edu), Shahram Pezeshk, Ph.D., P.E. (spezeshk@memphis.edu), Alireza Soltani, and Oluwaseyi Bolarinwa

August 15, 2016

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RESULTS	4
GEOLOGY CORRELATIONS	10
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Shear-Wave Velocity Profile Results for Sikeston Power Plant, Missouri

EXECUTIVE SUMMARY

We conducted a seismic survey near the Sikeston Power Plant at Sikeston, MO on July 21, 2016 in order to better characterize the soil profile beneath the plant. We used multi-channel analysis of surface waves (MASW), Refraction Microtremor (ReMi), and refraction/reflection techniques to characterize the shear-wave (V_s) profile to bedrock (Paleozoic Limestones). The surface-wave techniques successfully characterized the soil profile and the refraction/reflection techniques provided constraints on the depth to the top of the Cretaceous sediments (95±10 m) and the Paleozoic bedrock (235±20 m). The V_s profile is summarized in the results section below.

INTRODUCTION

A seismic field survey was conducted near the Sikeston Power Plant on July 21, 2016. Figure 1 shows the location of the survey line along a road SW of the plant. We conducted shallow MASW and ReMi and deep refraction/reflection and ReMi surveys. Figure 2 shows us conducting the seismic surveys near the power plant. Figure 3 shows the 40 kg Propelled Energy Generator (PEG) source used in the shallow MASW survey. We also used a 450 lb weight drop source for the deeper refraction/reflection survey. The MASW survey also provided refraction/reflection information at 19 shot points along that survey.

METHODS

The seismic survey techniques employed at the Sikeston Power Plant used both active and passive source surface-wave methods and active source refraction/reflection methods. Both shallow and deep passive (ambient noise) Refraction Microtremor (ReMi) surveys (Louie, 2001; Stephenson et al., 2005; Donghong et al., 2008) were conducted using 180 m (7.5 m geophone spacing) and 400 m (20 m spacing) long survey lines. An active source Multichannel Analysis of Surface Waves (MASW) survey (Park et al., 1999) was conducted using a 144 m (2 m spacing) line and the PEG source. A deeper refraction line (415 m with variable geophone spacing) was conducted using the 450 lb. weight-drop source (Dobrin, 1960; Telford et al., 1976). Reflections were observed on both the MASW and the refraction surveys, and analyzed for depth of the reflectors (Dobrin, 1960; Telford et al., 1976).

Google Maps Sikeston BMU



Map data ©2016 Google 500 ft

Figure 1: Location of University of Memphis seismic survey near the Sikeston MO power plant (red line SW of plant).



Figure 2: Picture of the MASW survey being conducted next to the road with the power plant in the background.



Figure 3: Picture of the PEG source used in the MASW survey.

RESULTS

The shallow profiling and reflection results provide the best information about the V_s profile near the power plant. Surface-waves in the form of Rayleigh Waves were very efficiently generated by the PEG and weight-drop systems. Also the ambient noise consisted of Rayleigh Waves travelling along the line of geophones. The shallow MASW and ReMi results provided V_s estimates down to 125 m because of the efficient generation of surface waves, which is much deeper than the usual 30 to 60 m with these geophone spreads (lines). The results from the deep ReMi survey, although seemingly providing V_s information down to 175 m, were judged to not be reliable enough to be used. Because most of the shot energy went into surface-waves, refracted phases were weak. However, two strong reflections were noted on the deep refraction profile on the record closest to the shot and the first (shallowest) reflection also appeared on the MASW shot records.

The shallow MASW and ReMi combined results are in Table 1 and Figure 4. The strong V_s increase from 636 m/s to 1284 m/s at 100 m depth is interpreted as the top of the Cretaceous sediments based on deep borehole logs in the Mississippi embayment (see discussion below).
The uncertainty in these estimates, both in depth and velocity, is probably on the order of 10 - 20%.

Depth(m)	Vs(m/s)	Depth(ft)	Vs(ft/s)
-3.9	160	-12.7	526
-3.9	252	-12.7	826
-8.7	252	-28.5	826
-8.7	180	-28.5	591
-14.7	180	-48.3	591
-14.7	350	-48.3	1148
-22.3	350	-73.1	1148
-22.3	300	-73.1	983
-31.7	300	-104.0	983
-31.7	488	-104.0	1600
-43.5	488	-142.7	1600
-43.5	473	-142.7	1553
-58.2	473	-191.0	1553
-58.2	423	-191.0	1386
-76.7	423	-251.5	1386
-76.7	636	-251.5	2086
-99.7	636	-327.0	2086
-99.7	1284	-327.0	4211
-124.6	1284	-408.7	4211

Table 1: Table of V_s results from shallow MASW and ReMi.



Figure 4: Graph of shallow Vs profile in meters (left) and feet (right).

The refraction results are limited because most of the shot energy went into surface (Rayleigh) waves. Above the shallow water table, the average $V_p = 600 \pm 100$ m/s. The thickness of this shallow V_p layer is 6 ± 1 m. Below the water table, likely to the Cretaceous sediments, the average $V_p = 1700 \pm 100$ m/s, which is near the V_p through saturated sediments.

Reflectors were noted on the near shot geophone records for both the shallow and deep surveys (Figures 5 and 6). The first reflection was clearly visible on both the shallow and deep shot records. The second reflection was only visible on the deep (450 lb weight-drop) shot record. The two-way travel time to these two reflections are 0.124 s and 0.265 s. The first reflecting layer appears to be flat laying in Figure 6.

Given the refraction V_p information above, the first reflector has an estimated depth of 95 ± 10 m. This corresponds to the top of the $V_s = 1284$ m/s layer at 100 m from the shallow MASW and ReMi profile. We believe this reflection is from the top of the Cretaceous sediments as it is the first strong velocity contrast in the soil profile. Assuming the Cretaceous sediments have a uniform V_p of 2,000 to 2,200 m/s based on deep boring loggings in the Mississippi embayment (Figures 7 and 8), the second reflector has an estimated depth of 235 ± 20 m. Projecting the change in V_p with depth trend for the deeper lying Cretaceous sediments to a 200 m depth in Figure 7 and using the V_p range for the Memphis Sand at 200-300 m depth in Figures 7 and 8, we arrived at the 2,000 to 2,200 m/s V_p range for the Cretaceous sediments beneath the Sikeston

Power Plant. We believe the second reflection is from the top of the Paleozoic Limestone, which from deep boring logs elsewhere has a $V_p = 5,500 \pm 500$ m/s (Figure 7) and a V_s of $3,300 \pm 200$ m/s (Cramer et al., 2004).



Figure 5: Single 450 lb. weight-drop shot record from the geophone nearest the shot. Two reflections are located near sample 1000 and 2200 (breaking to the left). The reflection amplitudes are greater than the shot noise on either side of them. Adjacent geophone records suggest that these reflections have normal moveout (confirming them as reflections).



01-Aug-2016 17:21:18

Figure 6: 19 at shot point geophone records (3 stacked records per shot point) from the MASW survey. The shot points are spaced 4 m apart along the spread. The shallow reflector in Figure 5 also appears on these records near sample 1000. There is variation in the arrival time along this profile likely from variations in the first layer (above water table) thickness and shear-wave velocity.



Figure 7: Wilson-2 V_p log with geology (Cramer et al., 2004, Figure 6).



Figure 8: MLGW well 236 V_p and V_s logs with geology (Cramer et al., 2004, Figure 5).

GEOLOGY CORRELATIONS

There is borehole information about the geology in the Sikeston area. The nearest distance to boreholes providing geologic layer information vary from 1.2 to 7.4 km from the power plant. For the shallow layers (silt/clay, sand, gravel, Eocene) the nearest borehole (index SC-67) is 1.2 NE at $36.888681^{\circ}N$, $89.612902^{\circ}W$. In this borehole the Holocene silt/clay is at the surface, the top of the Quaternary sand is at 4 m, the top of the Quaternary gravel is at 19 m, and the top of the Eocene is at 60 m. These depths correlate fairly well with the V_s profile in Table 1, suggesting that at the power plant site Holocene silt/clay is at the surface, the top of the Eocene is at 3.9 m, the top of the Quaternary gravel is at 22.3 m, and the top of the Eocene is at 58.2 m.

Boreholes with deeper geology are farther away from the plant and do not correlate as well in their depths-to-top with the V_s values in Table 1. The top of the Paleocene Midway Group is at 123 m depth in a borehole 3 km to the NE at 36.89N, 89.59W and the top of the Cretaceous and Paleozoic are at 135 m and 209 m in a borehole 7.4 km away to the SW at 36.8454N, 89.6925W. From Figures 7 and 8 and Cramer et al. (2004), we see that the Cretaceous layer is the first geological layer that exceeds a V_s of 1000 m/s, and the 1284 m/s at 100 m in Table 1 is similar to the mean V_s estimate of 1175 m/s for the Cretaceous in Cramer et al. (2004). Thus we judge that the top of the Cretaceous is at 100 m beneath the plant from the V_s profile in Table 1, which is much shallower than observed in the borehole 7.4 km away. This also correlates well with the first reflector seen in our seismic survey (95 ± 10 m). From this we estimate that the top of the Midway Group is at 76.7 m beneath the power plant, which is much shallower than in the borehole 3 km away. The second reflector being from the top of the Paleozoic at 235 ± 20 m corresponds fairly well with the 209m depth observed in the borehole 7.4 km away from the site.

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APPENDIX D

Analyses

Design Soil Properties

SOIL PROPERTY CHARACTERIZATION - SIKESTON BOTTOM ASH POND

		To	tal Unit We	ight, γ _τ					Und	drained Shear Stre	ength, S _u									Dra	ained Sl	hear St	rength					
Matorial	СРТ	Labo	ratory	Historic	Current		SPT		СРТ	UU and CIU Trx	Historic		Curren	nt		SPT		СРТ		La	iborato	ry CIU 1	Trx		Hist	oric	Cur	rent
Wateria	avg	Test Avg.	Tube Avg.	Design ¹	Design	avg	avg - 1σ	avg	avg - 1 σ	avg	Design ¹		Desig	n	avg	avg - 1 σ	avg	avg - 1σ	av	/g	m	in.	m	ax.	Des	ign ¹	De	sign
	γ_{T}	γ_{T}	Ŷτ		γ _τ	S _u	S _u	S _u	S _u	S _u		С'	φ'	S _u	¢'		¢'	φ'	с'	φ'	с'	φ'	С'	φ'	C'	φ'	C'	φ'
Clay Liner ²					125 pcf									1,000 psf													0 psf	28°
Sluiced Bottom Ash/FGD ²					90 pcf									750 psf													0 psf	30°
Embankment Fill				120 pcf	120 pcf							100 psf	35°		38°	36°									0 psf	35°	50 psf	35°
Foundation Sand				120 pcf	120 pcf							0 psf	35°		42°	41°									0 psf	35°	0 psf	35°

Notes:

1. Based on historic analyses performed by Geotechnology Associates.

2. Current design properties for these materials are conservatively estimated using typical published values and Haley & Aldrich's experience with similar materials.

HALEY & ALDRICH, INC.

\\Was\common\Projects\128065-Sikeston\Analyses_Design Soil Properties\[2016-0913-HAI-Sikeston Design Soil Properties-D3.xlsx]Ash Pond

Printed: 16 September 2016



Seismic Response Analysis

SITE SPECIFIC SEISMIC RESPONSE ANALYSIS

Introduction

The Sikeston Power Plant is located within the New Madrid Seismic Zone (NMSZ) and the Mississippi embayment. The NMSZ is associated with strong ground motions and the Mississippi embayment is associated with thick soil. The natural embayment soils underlying the impoundments are estimated to be 770-ft thick. It has been demonstrated that the strong ground motions migrating up through the thick soil alter the spectral response at the ground surface so that it is much different than the response in the bedrock below the site. At short periods increasing soil thickness correlates with a decreasing hazard due to the nonlinear soil behavior. Similarly, at long periods, increasing soil thickness correlates with increasing hazard due to soil resonance (Cramer, 2015).

Overview of Site-Specific Seismic Analysis

A one-dimensional ground response analysis was performed to estimate the subsurface response to an earthquake event at Sikeston. Due to the complex nature of the analyses required, Dr. Professor Edward Kavazanjian, Jr. at Arizona State University and Dr. Professor Chris Cramer at the University of Memphis were retained as part of our team to assist with the site-specific seismic analyses.

It is important that the rock and soil characteristics used to develop the ground response model match the engineering and seismic characteristics of the soil and rock at the Sikeston Power Plant. Properly conditioned bedrock strong ground motions (acceleration time histories) are required to perform a sitespecific seismic analysis. These rock motions should match the spectral response of characteristic ground motions with respect to the dominant seismic sources affecting Sikeston. Unfortunately, strong motion records from large magnitude events are not available for Central U.S. (Romero and Rix, 2001). Therefore, records were obtained from other sources that approximate the spectral response characteristics at the site.

A site-specific target response spectrum was created for the site to be used as a guide in selecting the proper ground motions for the study. This target spectrum was developed following well established criteria developed for building and infrastructure standards. The common design is based on the maximum critical risk-targeted (MCE_R) spectral response acceleration. Two different design methods (probabilistic and deterministic) are used to approximate the MCE_R spectrum and the lesser of the spectral response accelerations from each method at each period is used to create the site-specific target spectrum. The probabilistic target spectrum is created from the uniform hazard spectrum (UHS) by performing a probabilistic seismic hazard analysis (PSHA). ¹ It is then adjusted for maximum ground motion and targeted risk. The deterministic target spectrum is calculated from 84th-percentile ground motions representing a characteristic earthquake on a known or perceived active fault within the region.

¹ The uniform hazard spectrum is calculated by research on potential sources of earthquakes (e.g., faults and locations of past earthquakes), the potential magnitudes of earthquakes from these sources and their frequencies of occurrence, and the potential ground motions generated by these earthquakes. Uncertainty and randomness in each of these components is accounted for in the computation.

The bedrock at the site is classified as NEHRP Site Class A, hard rock. The 2008 UHS, provided by USGS, for a hypothetical Site Class A rock, based on the 2,500 –year return period ground motions, was used to identify the Probabilistic Target Spectrum used for the site-specific evaluation. Ground motions scaled to this spectrum were input in Shake at the base of the soil column as outcrop motions. Shake performs the necessary deconvolution techniques on the motions to adjust to within motions used for the one dimensional analysis.

USGS Deaggregation and Deterministic Target Spectrum

Unlike the west coast, central and eastern U.S. does not have a well-defined fault system and associated seismic sources needed to properly develop a Deterministic spectral response. Therefore, it is common practice to use pseudo fault locations to develop the deterministic target. Deaggregation data obtained from a probabilistic seismic hazard analysis (PSHA) is used to provide the relevant information needed to develop the deterministic target. The NSHMP PSHA interactive deaggregation web site was used to obtain the characteristics of the most significant earthquakes deemed to contribute the most to the seismic activity at the Sikeston power plant. It should be noted that USGS has not yet released the deaggregation data for the 2014 hazard maps, therefore the 2008 deaggregation data available on the USGS website were used to determine the most significant earthquakes that are considered for the seismic hazard for Sikeston. The deaggregation data suggests that the representative design earthquake for ground motions with a return period of 2,500 years should be between magnitude 7.5 and 8.0 at a distance of approximately 18 km from the site (Figure 1). The deterministic spectrum for scenario events (i.e. for events that conformed to the CMS to be discussed later) was based upon the information on the location and magnitude obtained from the PSHA.

The deterministic target spectrum is based on ground motion prediction equations (GMPEs) that use magnitude and distance to predict the spectral response of the ground motion. According to the USGS PSHA, the largest event predicted to affect Sikeston Power Plant is a magnitude 8 earthquake that is 17.7 km from the site. The computer software program Shake 2000, developed by GeoMotions, provided the central and eastern U.S. (CEUS) GMPEs and the CMS algorithms used to create the target spectrum. Site-specific spectral responses were generated from two appropriate CEUS attenuation relationships using Shake 2000 as shown on Figure 2. These attenuation relationships were based on a magnitude 8 earthquake as a distance of 17.7 km from the source. The GMPE representing the Campbell 2003 attenuation relationship was selected to produce the deterministic target spectrum for the site because it had the largest spectral response among all GMPEs tested.

A special type of target spectrum, called the conditional mean spectrum (CMS), was created for the study because it focuses the mean spectral response of all the ground motions to a particular period along the target spectrum (Baker, 2011). According to a joint venture between NIST and NEHRP (2011).²

"The Uniform Hazard Spectrum (UHS) is constructed by enveloping the spectral amplitudes at all periods that are exceeded with a given probability, computed using probabilistic seismic hazard analysis. However, those spectral values at each period are unlikely to all occur in a single ground motion. These conditional spectra instead condition the spectrum calculation on spectral acceleration at a single period, and then compute associated spectral acceleration

² Selecting and Scaling Earthquake Ground Motions for Performing Response-History Analyses; joint venture NEHRP Consultants and NIST, NIST GCR 11-917-15, 2011

values at all other periods. This conditional calculation assures that ground motions selected to match that spectrum have appropriate properties for naturally occurring ground motions that would occur at the site of interest."

The particular target period selected is related to fundamental period of the structure being analyzed. The fundamental period for the impoundment at Sikeston is related to the anticipated height of the sliding mass should failure occur and predicted to be around $T^* = 0.1s$. However, it can be argued that at least until a slide is triggered the appropriate value to use is the resonant period of the soil layer itself as there is no impedance contrast to trigger the slide.³ Therefore, CMS target spectrums were generated for both the short ($T^*=0.1s$) period related to the sliding mass and long ($T^*=1.0 s$) period related to the soil column. Separate sets of ground motions were scaled to each target spectrum and complete and separate analyses were performed. The CMS spectrum corresponding to the long period was shown to be the most conservative. The remaining portion of this report will focus on results obtained from using the long period CMS.

Conditional Mean Spectrum Groundmotions Scaled to Target Period T=1.0 s

The CMS spectrum according to Baker, 2011 is to be constructed with the ground motion scaled so that its mean spectral response at the target period, T* matches the spectral response of the uniform hazard spectrum at the same period. The target period, $T^*=1.0s$ is chosen to approximate the fundamental frequency of the soil column. The difference between the mean response of the ground motion at the target period and the mean value of the UHS at the same period is the standard deviation. The mean values of all points on the UHS are conditioned to the standard deviation of the ground motion at $T^*=1.0 \text{ s}$.

Shake 2000 by Geomotion, Inc. was used to provide the CMS spectrum for Campbell 2003 CEUS GMPE using a target period $T^* = 1.0 \text{ s}$. The standard deviation between the Campell GMPE and UHS spectral response at T^* was estimated to be 0.66. this value was used to adjust the Campbell GMPE to provide the CMS Target used for the Shake models. Figure 3 presents the CMS target spectrum that was used for the Sikeston Power Plant.

Rock Motions for The CMS

Seven time-history records were selected to match the target response spectrum for the site. A primary focus was to match the ground motion spectra to the CMS target spectrum, as suggested by NEHRP (2011) when considering magnitude, distance, and focal mechanism. Rock motion records were selected from the Pacific Earthquake Engineering Research (PEER) Center's Strong Motion Database. The motions are summarized below in Table IV. As shown on Figure 4, the arithmetic mean spectrum of the generated records closely matches the CMS bedrock spectrum over the period range of significance.

³ Conversation with Edward Kavazanjian

TABLE IV						
EARTHQUAKE	RECORDS	6 (Long Period CMS)				
	Retur		Earthq	uake Reo	cord Used	
Event	n	PEER File Name	Farthquako	NA	Mechanis	Distanc
	Period		Eartiquake	IVI	m	e (km)
			"Imperial Valley-			
		RSN6_IMPVALL.I_I-ELC180.AT2	02"	6.95	strike slip	6.09
Conditional	2 500	RSN15_KERN_TAF021.AT2	"Kern County"	7.36	Reverse	38.42
Mean	2,500- vear	RSN28_PARKF_C12050.AT2	"Parkfield"	6.19	strike slip	17.64
Response	year	RSN59_SFERN_CSM095.AT2	"San Fernando"	6.61	Reverse	89.37
		RSN122_FRIULI.A_A-				
		COD000.AT2	"Friuli_ Italy-01"	6.5	Reverse	33.32
		RSN126_GAZLI_GAZ000.AT2	"Gazli_ USSR"	6.8	Reverse	3.92
		RSN143_TABAS_TAB-L1.AT2	"Tabas_ Iran"	7.35	Reverse	1.79

One-Dimensional Ground Response Analysis

As mentioned previously, a one-dimensional ground response analysis was performed to estimate the surface ground motion at the site. The soil column used as input into the model was constructed from the shear wave velocity profile at the site (from in-situ testing provided by earthquake specialists at the University of Memphis) along with other characteristics such as layer thickness, soil density and the dynamic behavior. The dynamic geotechnical properties (damping, modulus-damping curves, density, etc.) used in the ground response analysis were obtained from EPRI (1993) and are based on extensive laboratory testing and literature review. The modulus reduction and damping curves were developed for various confining pressures corresponding to depths ranging from 0 to 305 meters. These curves are shown in Figure 5.

The computer software program Shake 2000 by Geomotion was used to numerically simulate the propagation of rock motions applied to the base of the soil column up through the soil layers to the top of the soil column. Shake2000 uses an equivalent linear numerical technique to model the non-linear dynamic soil behavior in the soil column. Figure 6 shows the results of the Shake ground response analysis for the seven representative rock motions. This figure compares the spectral response of the scaled bedrock motions to the surface ground response and shows the transformation in response caused by wave propagation through the 770-ft thick soil column. Table V summarizes the surface PGA estimates at the Sikeston Power Plant.

TABLE V PREDICTED SURFACE PGA	AND NEWMARK N	IAGNITUDE CORRE	CTION FACTOR	
Earthquake	Original Magnitude	CMS Scaled PGA ¹	Shake Surface PGA	Newmark Magnitude Correction Factor ²
"Imperial Valley-02"	6.95	0.36 g	0.37 g	1.34
"Kern County"	7.36	0.55 g	0.49 g	1.19
"Parkfield"	6.19	0.70 g	0.50g	1.65
"San Fernando"	6.61	0.45 g	0.39 g	1.47
"Friuli_ Italy-01"	6.5	0.30 g	0.44 g	1.52
"Gazli_ USSR"	6.8	0.58g	0.43 g	1.40
"Tabas_ Iran"	7.35	0.73g	0.44 g	1.20

¹ CMS scaled to period range of significance at T*=1.0s

² Determined using the method developed by Bray and Traversarou

Newmark Displacement Analysis

The Newmark method predicts the amount of block displacement for a given value of yield acceleration. The Newmark displacement analysis is based on the shear stress time history acting along the failure plane within the slope. The yield acceleration is the minimum amount of ground acceleration necessary to initiate motion along the failure surface and is used to determine the appropriate pseudo-static coefficient for seismic stability analyses.

Shake 2000 was used to perform the Newmark displacement analysis by incorporating the results of the one-dimensional ground response analysis to estimate slope displacement. Shake 2000 incorporates several different variants of the Newmark block displacement method and the numerical approach known as YSLIP developed by Kavazanjian and Matasovic (1996) was chosen for our analysis. All seven site-specific bedrock motions were used to evaluate relationships between the Newmark permanent displacements and the associated yield acceleration. Several impoundment cross-sections were evaluated and the most conservative location of the failure plane was determined to be 10 to 12 ft below the top of slope.

After performing the Newmark displacement analysis, it was necessary to adjust the displacement predictions to correspond to the difference between the magnitudes of the ground motions used in the analysis and the magnitude of the representative earthquake event established for the New Madrid Power Plant. Correction factors were applied to scale the displacements to the target magnitude 8 event (Figure 7). The correction factors were determined using the approach developed by Bray and Travasarou (2007), which relates permanent displacement from a Newmark analysis with the magnitude of the earthquake event (Bray, 2007). Figure 8 presents the magnitude scaled permanent displacement versus yield acceleration. When seven or more ground motions are used in the analysis, it is common practice to use the average of the scaled relationships.⁴

⁴ ASCE/SEI 7-10; "Minimum Design Loads for Buildings and Other Structures"

FIGURES



GMT 2018 May 3 18:42:13 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rock with average vs=2000. m/s top 30 m. USGS CGHT PSHA2008 UPDATE Bins with it 0.05% contrib. omitted Figure 1: Deaggregation Plot for Sikeston at T = 1.0 s



Figure 2: GMPE's -Attenuation models for Sikeston



Figure 3: 2008 Uniform Hazard Spectrum and Conditional Mean Spectrum for Sikeston Power Plant



Figure 4: Ground motions scaled to CMS at target T*=1.0s



Figure 5: EPRI (1993) (a) modulus reduction and (b) damping curves



Figure 6: Comparison between input motions to Shake and output. Note that spectral response has shifted to longer periods

Image: product of the last and and using a def menunolation brage base bases products in the last of th	KE	RICI	н							Created by: Checked by	JMK	DATE	: 8/16/2016 :
	Seismicdisplacemen earthquake Non-æro displacmer Fundamental period Assumed RigidSlidin where : D = non-zero displa	tof impoundmen at (not biased d) (T ₅ ≥0.05s): g Block (T ₅ <0.05 cement (cm)	nt based on ne to magnif In(t s): In(t	Newmark meth Indej: Drayand Dj=-1.10 -2.83k Dj=-0.22 -2.83k	əd using Bra Travarsaroı (k.) -0.333@ (k.) -0.333@	y and Travas arous relation (2007) n(k _y))? - 0.5661 n(k _y)in(S ₄ (1.5 n(k _y))? - 0.5661 n(k _y)in(PGA)	ship to compensate for magni tode st.j) +3.04in(5.(1.51.j)+0.244()n(5.) s3.04in(PGA)=0.244()n(PGA)?+0.7	e differences betwee (1.51.jj)P + 1.51 ₅ +0.: 278(14 -7) ± c	n ground motion and 278(M-7) ± c	l tar get			
invariant	$k_y = yield coefficient$ $T_x = initial fundame$ $S_x(1.5T_x) = spectral$	ntal period of sli acceleration of t	iding mass (s he input gro) und motion at a	period of 1.	5T. (e)					B Magnitude	ray and Traversard Correction Factor	or MB target
	e = normally distrib Fundamental Period where:	uted random var Sliding Mass=41	ible with zer WVs	o mean and sta	ndard deviat	iion c=0.67					$e^{0.278(8-7)}$	$\frac{1}{p} = \frac{1}{e^{02}}$	1.32 78(м-7)
matrix matrix<	Vs = average shear bis mic displace	ment of a s	sliding mass	ed on New	mark me	thod using Bray a	nd Travasarous relation	ship to compe	ensate for mag	nitude			
entropy i row space spa	bgrade using Preli	minary Vs Prof	ile from U	of Memphis (Cramer, 8/1	16/2016) Long Period I	10 ft Sliding Mass Height Notions (scaled to soil column	resonance)					
Part Part <t< td=""><td>eston Target Mag</td><td>nitude</td><td>8</td><td></td><td>_</td><td>(</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>	eston Target Mag	nitude	8		_	(
Carde MarketVertor <t< th=""><th></th><th></th><th></th><th>Depth</th><th>shear waw</th><th></th><th>Bray and Travasarou</th><th></th><th>Newmark Analy</th><th>is</th><th></th><th>ljusted Newmark</th><th></th></t<>				Depth	shear waw		Bray and Travasarou		Newmark Analy	is		ljusted Newmark	
indee, permi al in the second of the seco	Ground Motion	Magnitude	Distance (km)	Sliding Mass (ft)	Velocity (ft/s)	Yield Coefficient, k, (g)	Magnitude Correction Factor	Min (în)	Avg (in)	es)** Maximum(in)	Dis; Min (in)	Avg (in)	Maximum{in
NameImage	Tabas, Spain	7.35	1.79	10	600	0.1	1.20 1.20	82.10 37.00	87.70 42.20	93.30 47.50	98.36 44.33	105.07 50.56	111.78 56.91
no. no. <td></td> <td></td> <td></td> <td></td> <td></td> <td>0.2</td> <td>120</td> <td>20.80</td> <td>23.40</td> <td>25.90</td> <td>24.92</td> <td>28.08</td> <td>31.08</td>						0.2	120	20.80	23.40	25.90	24.92	28.08	31.08
new 1 1 0 1,0 8,0 8,7 8,0 9,7 8,0 9,7 8,0 9,7 8,0 9,7 8,0 9,7 8,0 9,7 8,0 9,7 8,0 9,7 7,7 new 1,0 1,0 0,0 0,00						0.25	1.20	12.00	13.00	14.00	14.38	15.57	16.77
meanImageI						0.28	1.20	8.50 6.40	8.70 6.50	8.90	10.18	10.42 7.79	10.66
mpmoint Value0.000.000.000.000.000.000.00mpmoint Value0.001000.000.000.000.000.000.000.00I0.001000.00						0.35	1.20	1.90	2.30	2.80	2.28	2.76	335
mpori Very0.20				15		0.5	120	0.00	0.00	0.00	0.00	0.00	0.00
ind <td>mperial Valley</td> <td>6.95</td> <td>6.09</td> <td>10</td> <td>600</td> <td>0.07</td> <td>134</td> <td>63.30 37.20</td> <td>63.60 37.50</td> <td>63.80 37.90</td> <td>84.76 49.81</td> <td>85.16 50.21</td> <td>85.43</td>	mperial Valley	6.95	6.09	10	600	0.07	134	63.30 37.20	63.60 37.50	63.80 37.90	84.76 49.81	85.16 50.21	85.43
normnormnormnormnormnormnormnormnormnormnorman fermando6.6199.70.00.000.051.442.002.003.002.063.002.063.00an fermando6.6199.70.00.051.476.806.706.706.706.706.707.708.7799.9an fermando6.6199.70.00.051.476.806.706.706.707.708.7799.9an fermando6.6199.71.00.051.476.801.507.708.7799.9an fermando6.6199.71.00.051.476.801.50						0.13	134	21.40	23.10 16.30	25.00 19.10	28.65	30.98 21.83	33.47
nnn						0.18	134	7.70	10.00	12.20	10.31	13.39	16.34
Image <th< td=""><td></td><td></td><td></td><td></td><td></td><td>0.2</td><td>134</td><td>2.00</td><td>2.60</td><td>3.20</td><td>7.10 2.68</td><td>9.37 3.48</td><td>4.28</td></th<>						0.2	134	2.00	2.60	3.20	7.10 2.68	9.37 3.48	4.28
San fermade 66.0 89.2 89.2 100 100 1.47 66.0 67.00 67.00 87.10 37.4 I<						0.3	1.34	0.50	0.60	0.72	0.67	0.80	0.96
Image	San Fernando	6.61	89.37	10	600	0.05	147	66.80	67.40	68.00	30.71	99.19	
Image Image <th< td=""><td></td><td></td><td></td><td></td><td></td><td>0.13</td><td>14/</td><td>14.90</td><td>15.20</td><td>15.60</td><td>38/1 21.93</td><td>22.37</td><td>22.96</td></th<>						0.13	14/	14.90	15.20	15.60	38/1 21.93	22.37	22.96
PedfeldI.S.I.S.I.G. <th< td=""><td></td><td></td><td></td><td></td><td></td><td>0.15</td><td>147</td><td>9.90 6.00</td><td>10.40 6.70</td><td>11.00 7.20</td><td>14.57 8.83</td><td>15.31 9.86</td><td>16.19</td></th<>						0.15	147	9.90 6.00	10.40 6.70	11.00 7.20	14.57 8.83	15.31 9.86	16.19
Image Image <th< td=""><td></td><td></td><td></td><td></td><td></td><td>0.2</td><td>147</td><td>4.40</td><td>4.90</td><td>5.40</td><td>6.48</td><td>7.21</td><td>7.95</td></th<>						0.2	147	4.40	4.90	5.40	6.48	7.21	7.95
Pack Image						0.23	14/	2.90	2.20	2.30	3.09	4.50	3.38
Pekfield6.3917.4410060060010.60136.80198.0010.80.0010						0.3	1.47	0.80	0.80	0.80	1.18	1.18	1.18
Image	Parkfield	6.19	17.64	10	600	0.1	165	136.80	139.80	142.80	136.53	122.65	128.60
Image						0.18	165	54.20	57.70	61.20	89.65	95.48	101.22
Image: bit of the sector of						0.2	165	43.10 29.30	46.40 32.70	49.80 36.10	71.29 48.46	76.74 54.08	82.37 59.71
Image: sector of the sector				-		0.25	165	21.90	25.40	28.80 20.20	36.22	42.01	47.63
Image: Problem Image:						0.3	165	9.10	12.30	15.30	15.05	20.34	25.31
Image: sector of the sector						0.33	165	4.70 2.80	7.30	7.10	4.63	8.27	16.54
Kem Gouch 7.36 38.42 10 600 0.08 1.19 47.30 53.40 57.21 00.9 Kem Gouch 8.42 10 600 0.08 1.19 30.20 34.30 38.30 56.06 40.98 Kem Gouch 1 1.19 16.19 20.30 24.50 192.44 22.56 Kem Gouch 1 1.19 40.90 9.10 13.80 55.86 50.87 Kem Gouch 1 0.18 1.19 40.90 9.10 13.80 52.44 22.56 Kem Gouch 1.19 0.00 9.40 3.80 7.70 0.48 4.54 Kem Gouch 1.19 0.00 0.40 3.80 37.00 0.84 4.54 Kem Gouch 1.19 0.00 0.40 3.80 37.00 0.84 4.54 Kem Gouch 1.19 0.00 0.40 4.20 47.00 51.90 0.60 0.00 0.00 0.00 0.00						0.4	1.65	0.50	1.40	2.30	0.83	2.32	3.80
Image Image <th< td=""><td>Kern County</td><td>7.36</td><td>38.42</td><td>10</td><td>600</td><td>0.08</td><td>1.19</td><td>47.90</td><td>50.80</td><td>53.40</td><td>57.23</td><td>60.69</td><td>63.80</td></th<>	Kern County	7.36	38.42	10	600	0.08	1.19	47.90	50.80	53.40	57.23	60.69	63.80
Image						0.13	119	16.10	20.30	24.50	19.24	24.25	29.27
Image: book of the sector of the se						0.15 0.18	1.19 1.19	10.50 4.90	14.70 9.10	18.90 13.40	12.54	17.56 10.87	22.58
Gard, USS Lab Lab <thlab< th=""> Lab <thlab< th=""> <thlab<< td=""><td></td><td></td><td></td><td></td><td></td><td>0.2</td><td>1.19</td><td>2.70</td><td>7.00</td><td>11.20</td><td>3.23</td><td>8.36</td><td>13.38</td></thlab<<></thlab<></thlab<>						0.2	1.19	2.70	7.00	11.20	3.23	8.36	13.38
constraint constraint <thconstraint< th=""> reg re</thconstraint<>						0.3	1.19	0.00	2.10	4.20	0.00	2.51	5.02
Gedi, USS 6.8 3.92 10 600 0.06 1.00 4.20 4.70 5.100 5.90 5.90 6.90 <						0.4	1.19	0.00	0.40	0.80	0.00	0.48	0.00
Image: state of the	Gazli, USSR	6.8	3.92	10	600	0.08	140	42.60 34.30	47.20 35.80	51.90 37.20	59.47 47.88	65.89 49.98	72.45
Full L. L.D L.D L.D D.D D.D <thd.d< th=""> <thd.d< th=""> <thd.d< th=""></thd.d<></thd.d<></thd.d<>						0.13	140	21.40	23.10	24.80	29.87	32.25	34.62
Ave Image I						0.15	140	7.50	10.90	19.30	10.47	15.22	26.94
Ave L L D						0.2 0.23	140 140	4.70 2.50	8.00 5.20	11.40 7.90	6.56 3.49	11.17 7.26	15.91
Image: Mark Sector Image:						0.25	1.40	1.50	3.80 1.60	6.10 2.80	2.09	5.30 2.23	8.52
mov, my os abs in out in in out in out out <thout< th=""> out <thout< th=""> <thout< th=""> <thout< th=""></thout<></thout<></thout<></thout<>	Edult Indu		23.3	10	600	0.4	140	0.00	0.00	0.20	0.00	0.00	0.28
AYBACE - 0.18 1.52 1.70 1.7.00 17.30 27.565 85.10 - 0.152 11.00 12.30 13.60 1669 18.66 - 0.28 11.52 11.00 12.30 13.60 98.66 - 0.28 11.52 0.00 2.89 50.00 9.91 4.75 - 0.33 11.52 0.00 0.70 1.40 0.00 1.65 - 0.35 11.52 0.00 0.70 1.40 0.00 0.01 - 0.5 11.52 0.00 0.00 0.00 0.00 0.00 AYBACE - 0.5 152 0.00 0.00 0.00 0.00 0.00 AYBACE - 0.02 - 0.00 0.00 0.00 0.00 0.00 0.00 0.00 - 0.25 - 0.00 0.00 0.02 0.27 22.79 23.79 23.79	mon, itary	0.5	33.3	10	000	0.15	152	26.00	28.00	30.00	39.45	42.49	45.52
AVERACE 0.28 1.52 0.00 2.80 5.00 0.91 4.25 AVERACE 0.33 1.52 0.00 1.90 3.70 0.00 2.88 AVERACE 0.33 1.52 0.00 1.90 3.70 0.00 1.66 AVERACE 0.04 1.52 0.00 0.10 0.36 0.00 0.15 AVERACE 0.05 0.05 0.00 0.10 0.36 0.00 0.00 AVERACE 0.05 0.05 0.00 0.00 0.00 0.00 0.00 Sometric Mean 0.06 0.01 0.00 0.00 0.00 0.00 0.00 Sometric Mean 0 0.01 0.02 0.00 0.00 0.02 0.27						0.18	1.52	17.10	17.20 12.30	17.30 13.60	25.95	26.10 18.66	26.25
AVERAGE Company Company <t< td=""><td></td><td></td><td></td><td></td><td></td><td>0.28</td><td>152</td><td>0.60</td><td>2.80</td><td>5.00</td><td>0.91</td><td>4.25</td><td>7.59</td></t<>						0.28	152	0.60	2.80	5.00	0.91	4.25	7.59
AVEMAE 0.4 1.22 0.00 0.10 0.30 0.00 0.00 0.00 AVEMAE - 0.5 1.52 0.00 <td></td> <td></td> <td></td> <td></td> <td></td> <td>0.35</td> <td>152</td> <td>0.00</td> <td>0.70</td> <td>1.40</td> <td>0.00</td> <td>1.06</td> <td>2.12</td>						0.35	152	0.00	0.70	1.40	0.00	1.06	2.12
AVEXAGE 0.1 0.1 60.42 0.15 0.15 48.49 0.2 0.2 22.79 0.3 0.2 11.20 0.3 0.3 5.39 0.4 0.15 0.52 0.3 0.3 0.52 0.4 0.1 0.52 0.3 0.1 0.52 0.4 0.1 0.52 0.4 0.1 0.52 0.4 0.1 0.52 0.4 0.1 0.52 0.4 0.2 13.27 0.5 0.2 15.57 0.4 0.25 0.60 0.2 0.3 0.53						0.4	152	0.00	0.10	0.30	0.00	0.15	0.46
0.2 0.2 22.79 0.25 0.25 11.20 0.3 0.3 559 0.4 0.52 0.52 0.4 0.52 0.52 0.4 0.52 0.52 0.1 58.58 32.72 0.52 0.55 0.57 0.25 0.3 0.57	AVERAGE)		0.1						63.42 43.49	
cometric Mean 0,23 11,20 0,3 0,3 5,39 cometric Mean 0,1 0,52 0 0,1 8,88 0 0,15 0,272 0 0,25 12,57 0 0,25 15,87 0 0,23 0,51						0.2						22.79	
0.4 0.52 ècometric Mean 0.1 98.38 0.015 20.27 0.15 20.27 0.2 25.87 0.3 601 3.04 30.44						0.25						11.20 5.39	
015 027 02 025 03 03 03 04	eometric Mean		-			0.4						0.52	
0.2 15.87 0.25 6.91 0.3 3.04						0.15						32.72	
0.3 3.04						0.2						6.91	
04						0.3						3.04	

Figure 7: Results of Newmark analysis with Bray and Traversarou Corrections



Figure 8: Newmark Block Displacement Analysis for Sikeston

Slope Stability





